

Welcome to Training: Provider Case Management for Individuals living with a Serious Mental Illness

You were automatically muted upon entry. Please only join by phone or computer. Please use the chat feature for questions or raise your hand.

Thank you.



Zoom Webinar Controls

Navigating your bar on the bottom...

Audio Settings

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Select a Speaker

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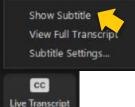
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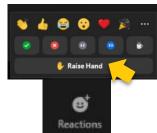
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Chat



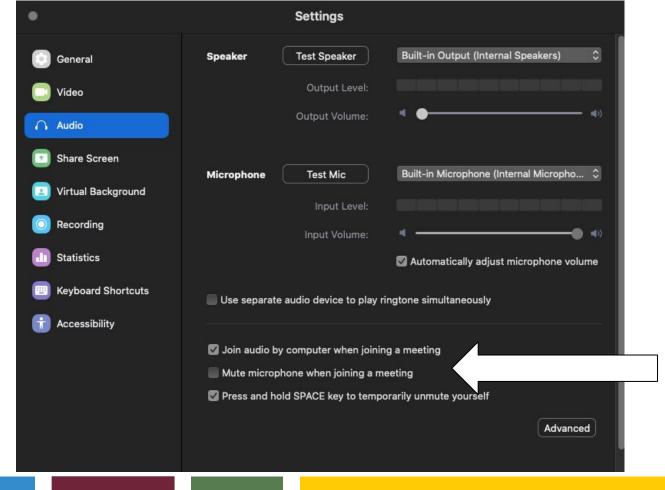
KEYBOARD SHORTCUTS TO RAISE HAND

Windows: Alt+Y to raise or lower your hand

Mac: Option+Y to raise or lower your hand



Audio Settings





Welcome!

What to expect during the training:

- Information regarding Provider Case Management for Individuals Living with a Serious Mental Illness (SMI),
- Real-time answers to related questions in the chat, and
- Links to relative sources.

What to expect after the training:

- Concluding with Q&A session,
- Each participant will receive this presentation,
- Contact information for the OHR for questions on other topics, and
- Survey link.





Agenda

- Overview: The Division of Community Advocacy and Intergovernmental Relations (DCAIR)
- Overview: The Office of Human Rights (OHR)
- Provider Case Management for Individuals Living with a Serious Mental Illness (SMI)
- Overview: The Office of Individual and Family Affairs (OIFA)
- Advocacy Resources
- Upcoming Forums and Training

* AHCCCS Acronyms Guide







Provider Case Management for Individuals with a Serious Mental Illness (SMI)

Presented by: The Office of Human Rights (OHR) & The Office of Individual and Family Affairs (OIFA) AHCCCS/Division of Community Advocacy and Intergovernmental Relations (DCAIR) March 26, 2024





Overview

Division of Community Advocacy and Intergovernmental Relations (DCAIR)

> Alex Demyan DCAIR Assistant Director



DCAIR Departments

The Division of Community Advocacy and Intergovernmental Relations (DCAIR) is an AHCCCS division that houses functions that interface with our individuals, family individuals and other stakeholders. These areas are very important as they ensure the voice of the community is heard.

Office of Individual and Family Affairs (OIFA)	Office of Human Rights (OHR)	Federal Relations and Special Engagements
		Team (FRAS)
Peer and Family Support,	Advocacy for persons	
and Individual	with a Serious Mental	Waiver, State Plan, Tribal
Engagement	Illness	Relations

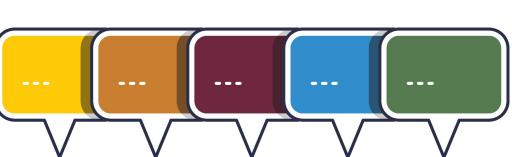


DCAIR Community Involvement

DCAIR routinely engages with our communities to educate, inform, and solicit feedback from members, families, providers, and stakeholders. We also facilitate or participate in workgroups & committees to address health care needs including:

- Behavioral Health Planning Council
- Arizona Council of Human Service Providers

See the <u>AHCCCS Community</u> <u>Events Calendar</u> for more public events. OIFA Advisory Council



The State Medicaid Advisory Committee





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Overview The Office of Human Rights (OHR)

John Pizzo, Advocate II



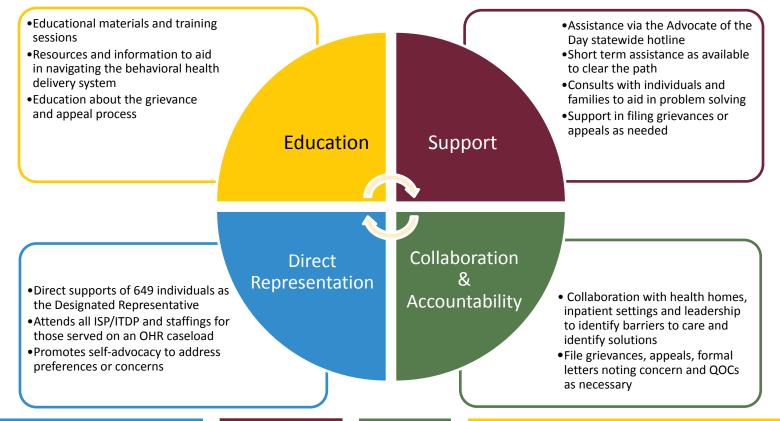
The Office of Human Rights

Mission Statement

OHR Provides advocacy to individuals living with a SMI to help them understand, protect and exercise their rights, facilitate self-advocacy through education, and obtain access to behavioral health services in the public behavioral health system in Arizona.



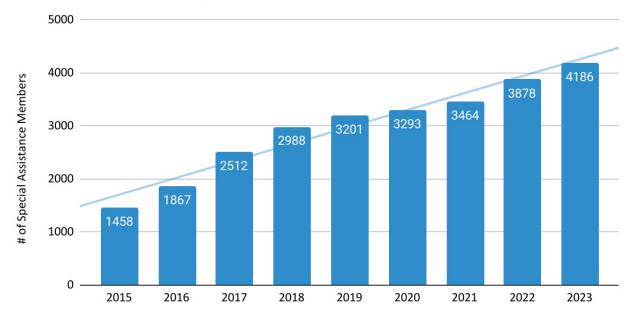
OHR Advocacy at-a-Glance





Education Results in Growth

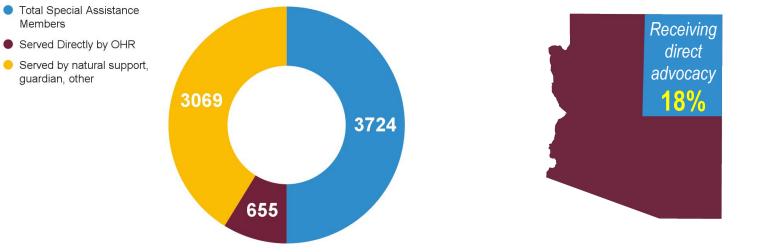
of Special Assistance Growth Trend



Year



Special Assistance Data as of January 1, 2024







Provider Case Management Ywchari Manos, Advocate



AHCCCS Medical Policy Manual (AMPM) 570

Applies to:

- AHCCCS Complete Care (ACC),
- ACC-Regional Behavioral Health Agreements (RBHA),
- Department of Child Safety (DCS)/Comprehensive Health Plan (CHP),
- Department of Economic Security (DES)/Division of Developmental Disabilities (DDD) contractors, and
- Fee-For Service (FFS) programs including:
 - The American Indian Health Program (AIHP) and Tribal Regional Behavioral Health Authorities (TRBHAs), excluding Federal Emergency Services (FES)



In accordance with AHCCCS Medical Policy Manual 570



Provider Case Management

A supportive service to improve treatment outcomes and meet member's service or treatment plan goals. Some examples include, but are not no limited to:

- Maintaining, monitoring, and modifying behavioral health services
- Assistance in finding necessary resources
- Coordination of care



See the OHR training for <u>the Power of Advocacy and Collaboration for Individuals Living</u> <u>with a Serious Mental Illness</u> or for a more comprehensive list please see the <u>Rights for</u> <u>Members Living with a Serious Mental Illness-Arizona Administrative Code</u> (R9-21).



Provider Case Management

- Assisting members in applying for social security benefits,
- Outreach and follow-up of crisis contacts and missed appointments
- Participation in staffing, case conferences, or other meetings,
- Assisting members in being aware of and exercising their rights, and
- Ensuring all members with a SMI designation are assessed for Special Assistance criteria in accordance with <u>the Arizona Administrative Code</u> and <u>AHCCCS Medical Policy Manual 320-R for Special Assistance</u>. See the <u>OHR</u> <u>training for Special Assistance</u>.



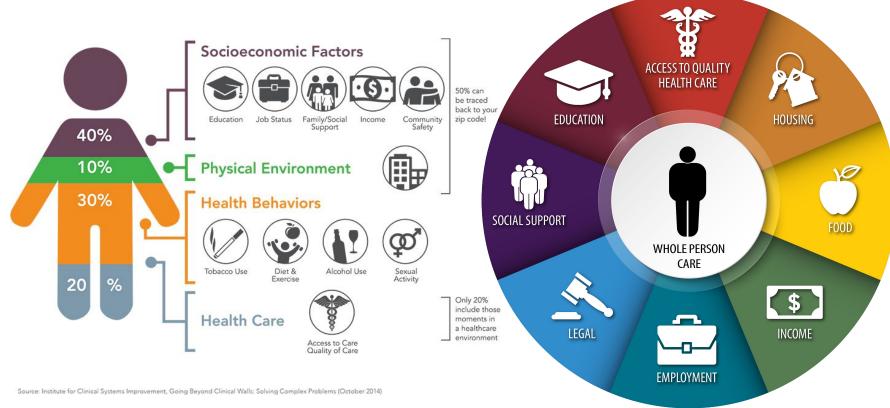
Case Management



*If case management is being provided by a behavioral health facility, case managers shall work with the TRBHAs on care coordination. Refer to the TRBHA Intergovernmental Agreement (IGA) for care management/care coordination requirements.



Whole Person Care





NO WAIT LISTS!

Wait lists for AHCCCS Covered Behavioral Health Services are **PROHIBITED**. It is a right to receive the covered services needed, delivered within AHCCCS Policy established timelines. See the OHR training for <u>the Rights of Individuals</u> <u>Living with a Serious Mental Illness.</u>



If an agency or specialist is unable to provide service, the agency or specialist should refer to the Health Plan to locate a provider. AHCCCS Fee for Service (FFS) members can go to any AHCCCS registered provider. See the <u>AHCCCS Fee for Service (FFS) Program Overview</u> video for more information.

This is in accordance with AHCCCS Policy 417: Appointment Availability, Transportation Timeliness, Monitoring, and Reporting



Assisting with Grievances

Grievances may be submitted orally or in writing to **any employee of a mental health agency** who shall forward the grievance to the appropriate person as identified in R9-21-404.



Case management shall assist in filing grievances or appeals process upon request. See the OHR training for <u>the Grievance and Appeal Process for</u> <u>Individuals Living with Serious Mental Illness.</u>

Resources on SMI complaints, appeals, and grievances is available on the AHCCCS website.





Role and Responsibilities John Pizzo, Advocate II



Coordination of Care Requirements

Case Managers shall:

- Coordinate with member/HCDM, DR, and all other stakeholders.
- Obtain input from all involved parties in the assessment and service planning process.
- Provide coordination of care and services specified in the member's service plan to include physical and behavioral health services and care.



- Obtain information about the member's course of treatment from each provider.
- Participate in all provider staffings and treatment/service planning meetings. See the OHR training for <u>the Individualized Service Plan and Why it Matters.</u>



Additional Coordination of Care Requirements

Case Managers shall:

- Obtain copies of all provider's treatment plans.
- Provide education and support to members, family members, HCDM, DR, and significant.
- Provide a copy of the member's service plan to other involved providers and involved parties with the consent of the member/HCDMs.
- Provide medication and laboratory information to residential and independent living service providers or other caregivers involved.
- Coordinate care with contractor care management as applicable.





Crisis Requirements

Case Managers shall:

• Identify, intervene, and/or follow-up with a potential or active crisis situations.



- Provide information, backup, and direct assistance to crisis and emergency personnel.
- Provide follow-up within 24 hours of discharge from a crisis setting. See the OHR training for <u>the Inpatient Treatment and Discharge Planning Process for Individuals</u> <u>Living with a Serious Mental Illness.</u>
- Assess for, provide, and coordinate additional supports and services as needed.
- Ensure the member's annual crisis and safety plan is updated.

This is in accordance with AHCCCS Policy 590: Behavioral Health Crisis Services and Care Coordination.



Training, Competency and Management

The Contractor shall ensure the following:

- Development of a provider network with a sufficient number of qualified and experienced provider case managers.
- Orientation to new case managers to the fundamentals of providing case management services, evaluating their competency and ongoing training.
- All members with a SMI designation are assigned to a case manager in accordance with <u>A.A.C. R9-21-101</u>.



Mandated Reporters

All providers are required to report or cause reports to be made regarding abuse, neglect or exploitation. The reports shall be made to a peace officer or to the Adult Protective Services Central Intake Unit in accordance with A.R.S. 46-454 (A-D). The reports should be made immediately by phone at 1-800-624-8404 or online <u>here.</u> The reporter will need the following information:

- Name, address and age of the person,
- Nature and extent of the adult's vulnerability in addition to the extent of the abuse, neglect or exploitation,
- Any information believed to be helpful in establishing the cause, and
- Names of other involved parties.





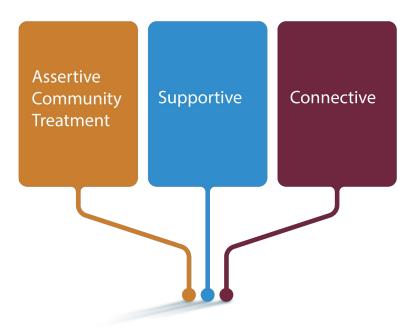
Provider Case Management Intensity

Ywchari Manos, Advocate



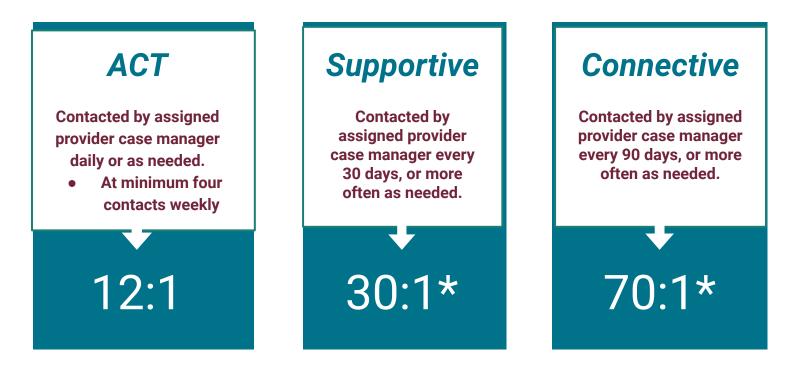
Levels of Case Management and Intensity

The Arizona behavioral health delivery system has three different levels of case management which is assessed by the treatment team to determine what will meet the needs.





Case Management Intensity and Caseload Ratios



This is in accordance with AHCCCS Policy 570A: Provider Case Management Caseload ratios



Assertive Community Treatment (ACT)

ACT is a comprehensive model of treatment based upon fidelity criteria developed by the <u>Substance Abuse and Mental Health Services</u> <u>Administration (SAMHSA).</u>

This level of case management focuses upon members with severe and persistent mental illness that seriously impairs their functioning in community living, in conjunction with a multidisciplinary team approach.

- Social services
- Housing services
- Health care



Supportive Case Management

Focuses upon members for whom less intensive case management would likely impair their functioning it provides:

- Assistance
- Support
- Guidance
- Monitoring to achieve maximum benefit from services



Caseloads may be blended to include serving those with a SMI designation as well as general mental health (GMH) conditions or substance use disorders as clinically indicated.



Connective Case Management:

This level of case management focuses on individuals who have largely achieved their resilience goals.

Caseloads may include both members with an SMI designation as well as members with a General Mental Health (GMH) condition or Substance Use Disorder (SUD) as clinically indicated.







Accessibility and Coordination of Care

Kisha Kimber, Advocate



Requirements for Accessibility

The Contractor* shall ensure that members, Health Care Decisions Makers (HCDM) and Designated Representatives are provided with access to their case managers by providing the following:

- Contact information for the provider case manager or Contractor,
- Contact information for emergencies and/or after hours,
- A system of backup provider case managers, and
- Accurate case management contact information in the QM Portal for all Special Assistance members in accordance with <u>the AHCCCS Medical</u> <u>Policy Manual 320-R for Special Assistance.</u>

*As defined in the AHCCCS Contract and Policy Dictionary linked here



Conflicts of Interest

The Contractor shall ensure that provider case managers do not have any conflicts of interest for a member served on their caseload, including but not limited to those that are:



- Related by blood or marriage to a member, or any paid caregiver,
- Financially responsible,
- Empowered to make financial or health-related decisions,
- In a position to financially benefit, and
- Paid for services.



Supervision and Coordination of Care

The Contractor shall require the following to ensure oversight and coordination:

- Supervision of provider case manager ratios,
- Support structure for case managers,
- Adequate time to train and review the work of newly hired provider case managers,
- Support and guidance to established provider case managers, and
- Mechanisms to promote coordination and communication between provider case management and contractor care management teams.



Provider Case Management Plan Requirements

The Contractor shall submit a provider case management plan that addresses how the Contractor will collaborate with other Contractors to implement and monitor provider case management standards and caseload ratios.

The provider case management plan shall also include:

- Performance outcomes,
- Lessons learned, and
- Strategies targeted for improvement.







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Overview The Office of Individual and Family Affairs (OIFA)

Cynthia Burr Children's Behavioral Health Community Liaison



OIFA Mission

The Office of Individual and Family Affairs promotes recovery, resiliency, and wellness for individuals whose lives have been impacted by mental health and/or substance use challenges.





Office of Individual and Family Affairs (OIFA)

The AHCCCS Office of Individual and Family Affairs (OIFA) takes pride in helping members and family members in the public health care system. OIFA is dedicated to three core areas:



Our actions, initiatives, and successes drive us toward our core goals.



Member Voice and Education Events



View the calendar of events at: <u>https://www.azahcccs.gov/shared/AHCCCScalendar.html</u>



Advocacy Resources

Kisha Kimber, Advocate



Advocate of the Day



OHR operates a single statewide phone line during business hours to provide technical assistance to anyone living with a Serious Mental Illness. Technical assistance could include:



- Providing education and resources for behavioral health services in Arizona,
- Helping a person understand their rights as an individual living with a Serious Mental Illness,
- Helping an individual to understand their treatment options, and
- Educating about the grievance and/or appeal process.

1-800-421-2124



Community Education

OHR hosted 10 community education session in the past year, engaging with over **1,800 attendees** at these trainings:

- Why Assess for Special Assistance for Individuals Living with a Serious Mental Illness,
- Rights of Individuals living with a Serious Mental Illness,
- Provider Case Management for Individuals living with a Serious Mental Illness,
- The Individual Service Plan and Why it Matters for Individuals Living with a Serious Mental Illness,
- The Role of the Office of Human Rights for Individuals living with a Serious Mental Illness,
- The Grievance and Appeals Process for Individuals Living with a Serious Mental Illness,
- Covered Behavioral Health Services for Individuals Living with a SMI,
- Court-ordered Evaluation and Court-ordered Treatment for Individuals Living with a Serious Mental Illness,
- Inpatient Treatment and Discharge Planning for Individuals with a Serious Mental Illness, and
- The Power of Collaboration and Advocacy for Individuals Living with a Serious Mental Illness. Previous OHR trainings are available <u>here</u>.





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AHCCCS Website <u>www.azahcccs.gov</u>



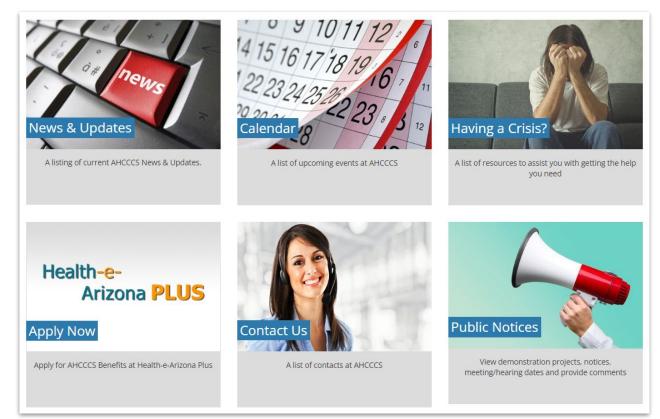
Navigating the AHCCCS Website







Navigating the AHCCCS Website





Oversight of Health Plans

Administrative Actions Contracted Health Plan Audited Financial Statements Change in Ownership Activities Operational Reviews Quality and Performance Improvement Request to Lift Enrollment CAP System Of Care

Governmental Oversight

Federal and State Requirements Legislative Sessions Waiver State Plans Budget Proposals County Acute Care Contributions

Health Plan Report Card

Reports

Dashboards Reports to CMS Reports to the Legislature Population Reports Enrollment Reports by Health Plan Financial Reports Behavioral Health Reports

Solicitations & Contracts

Solicitations, Contracts & Purchasing Open Solicitations Closed Solicitations Contract Amendments Medicare D-SNP Agreements Bidders Library Vendor Registration

Public Health

COVID-19 Information Monkeypox Virus and Vaccination Information Guides - Manuals - Policies

Training

Fee-for-Service Provider Training MCO Provider Training

Grants

Federal Funding Accountability and Transparency Act Current Grants

Electronic Data Interchange (EDI)

EDI Technical Documents EDI Testing

EDI Change Notices

Community Partners (HEAplus)

Pharmacy



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About Us

Acronyms AHCCCS Programs Awards & Studies Executive Deputy Director's Biography News & Press Releases Community Presentations Strategic Plan

Public Notices

Private Sector Partners

Program Planning

Healthcare Advocacy

Mental Health First Aid Office of Human Rights Office of Individual and Family Affairs Resources for Foster/Kinship/Adoptive Families

Initiatives

Accessing Behavioral Health Services in Schools AHCCCS Complete Care AHCCCS Whole Person Care Initiative (WPCI) Arizona Olmstead Plan Care Coordination & Integration Electronic Visit Verification AHCCCS Housing Programs Health Information Technology (HIT) Payment Modernization Targeted Investments Telehealth Services

Committees and Workgroups

Transparency



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Health Plans, ACC-RBHA and TRBHA Contacts

Health Plans, RBHA or TRBHA	Customer Service	Webpage
Banner – University Family Care LTC	1-833-318-4146	Banner UFC
Mercy Care LTC	1-800-624-3879	Mercy Care LTC
Care1st Arizona	1-866-560-4042	Care1st Arizona
Mercy Care RBHA	1-800-564-5465	Mercy Care RBHA
AHCCCS American Indian HP	1-800-654-8713	American Indians-AIHP
United Healthcare LTC	1-800-293-3740	UHC LTC
Arizona Complete Health	1-888-788-4408	AZ Complete Health
Gila River TRBHA	1-520-562-3321	Gila River TRBHA
Pascua Yaqui TRBHA	1-520-879-6060	Pascua Yaqui TRBHA
Navajo Nation TRBHA	1-928 871-6000	Navajo Nation TRBHA
White Mountain Apache TRBHA	1-928-338-4811	White Mountain Apache TRBHA



Resources: Rule and Statutes

Arizona Administrative Code: <u>Rights for individuals Living with a Serious</u> <u>Mental Illness-Arizona Administrative Code</u> (R9-21)

Arizona Revised Statutes:

Court Ordered Evaluation and Treatment-A.R.S. Articles 4 & 5, 520-544

Confidential Records-A.R.S. 36-509

Guardianship of Incapacitated Adults- Title 14, Chapter 5, Article 3





Resources: Policies and Manuals

AHCCCS Medical Policy Manual (AMPM)

Discharge Planning - AMPM 1020/Utilization Management Special Assistance for Members with a SMI - AMPM 320-R

Behavioral Health Covered Services- AMPM 310-B

Medical Records and Communication - AMPM 940

Case Manager Requirements - AMPM 570

AHCCCS Contractor Operations Manual (ACOM) <u>SMI Appeals-ACOM 444</u> <u>SMI Grievance and Investigations-ACOM 446</u> <u>SMI Grievance/Appeal Form</u>



Additional Advocacy Resources

AHCCCS OHR Web page

AHCCCS OIFA Web page

AHCCCS Related Acronyms

AHCCCS Contract and Policy Dictionary

AHCCCS Community Events Calendar







Upcoming Forums and Trainings



Upcoming AHCCCS Advocacy Training

Register for upcoming trainings by clicking on the name of the training!



4/25	The Individualized Service Plan and Why it Matters for Individuals Living with a Serious Mental Illness	
5/28	The Role of the Office of Human Rights for Individuals Living with a Serious Mental Illness	
6/27	The Grievance and Appeals Process for Individuals Living with a Serious Mental Illness	
7/23	Covered Behavioral Health Services for Individuals Living with a Serious Mental Illness	



Upcoming Forums and Events

Jacob's Law

Thurs., 3/28, 1:00 p.m. - 3:00 p.m. Register in Advance

OHR: The Individualized Service Plan and Why it Matters for Individuals Living with a Serious Mental Illness

Thurs., 4/25, 1:00 p.m. - 2:30 p.m. Register in Advance

System Navigation

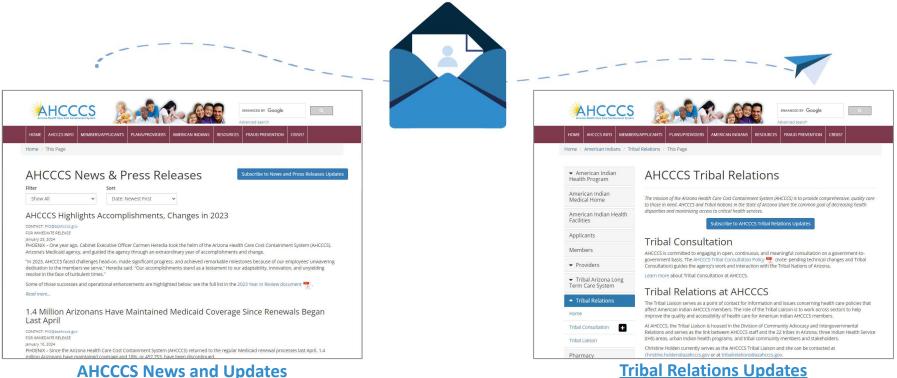
Tues., 3/26, 12:00 p.m. - 12:30 p.m. Register in Advance

AHCCCS Community Forum

Mon., 4/15, 10:00 - a.m. - 12:00 p.m. Register in Advance



Subscribe to stay informed!



azahcccs.gov/AmericanIndians/

TribalRelations/

Learn about AHCCCS' Medicaid Program on YouTube!



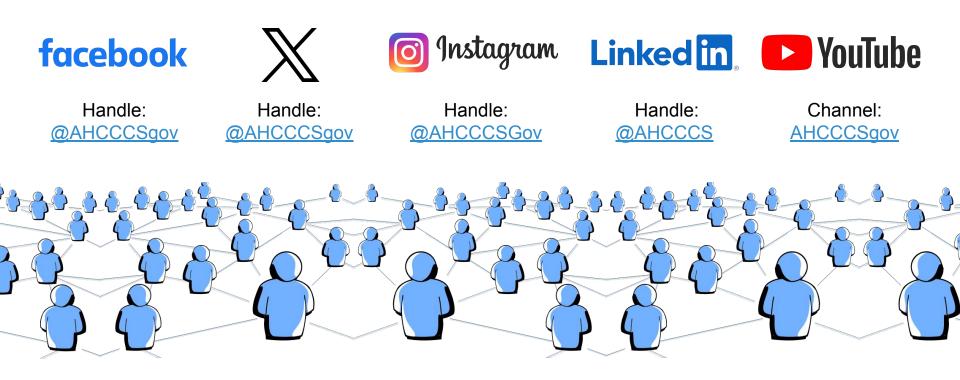
YouTube

Watch our Playlist:

Meet Arizona's Innovative Medicaid Program



Follow & Support AHCCCS on Social Media









Feedback

Questions?



Thank You.

