

Notice of Request for Proposal		AHCCCS Arizona Health Care Cost Containment System
SOLICITATION NO.: YH14-0001	PAGE 1	701 East Jefferson, MD 5700
	OF 337	Phoenix, Arizona 85034

Solicitation Contact Person

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Phoenix, AZ 85034 Issue Date: November 1, 2012

LOCATION: ARIZONA HEALTH CARE COST CONTAINMENT SYSTEM (AHCCCS)

Contracts and Purchasing Section (First Floor)

701 E. Jefferson, MD 5700 Phoenix, AZ 85034

DESCRIPTION: ACUTE CARE / CHILDREN'S REHABILITATIVE SERVICES (CRS)

PROPOSAL

DUE DATE: January 28, 2013 AT 3:00 P.M. Arizona Time

Pre-Proposal A Pre-Proposal Offer's Conference has been scheduled for <u>Friday</u>, <u>November 9, 2012</u> starting at 9:00

Conference: **A.M. Arizona time**. The Conference will be held in the following location:

AHCCCS Gold Room, Third Floor 701 E. Jefferson Phoenix, AZ 85034

QUESTIONS CONCERNING THIS SOLICITATION SHALL BE SUBMITTED TO THE SOLICITATION CONTACT PERSON NAMED ABOVE, IN WRITING VIA E-MAIL AS SPECIFED IN SECTION H, INSTRUCTIONS TO OFFERORS. QUESTIONS MUST BE SUBMITTED ON THE ACUTE CARE AND CRS PROGRAM RFP YH14-0001 QUESTIONS AND RESPONSES TEMPLATE LOCATED IN THE BIDDERS' LIBRARY.

The Solicitation Process shall be in accordance with the "RFP and Contract Process" Rules set forth in Title 9 Chapter 22 Article 6 and effective November 11, 2012. These rules are posted on the AHCCCS website at:

 $http://www.azahcccs.gov/reporting/Downloads/UnpublishedRules/NOFR22_6.pdf$

The RFP and Contract Process Rules were also published on October 5, 2012 in the Arizona Administrative Register at:

http://www.azsos.gov/public_services/Register/contents.htm

Competitive sealed proposals will be received at the above specified location, until the time and date cited. Proposals received by the correct time and date will be opened and the name of each Offeror will be publicly read. Proposals must be in the actual possession of AHCCCS on or prior to the time and date and at the location indicated above.

Late proposals shall not be considered.

Proposals must be submitted in a sealed package with the Solicitation Number and the Offeror's name and address clearly indicated on the package. All proposals must be typewritten. Additional instructions for preparing a proposal are included in this solicitation document.

Persons with a disability may request a reasonable accommodation, such as a sign language interpreter, by contacting the appropriate Procurement Agency. Requests should be made as early as possible to allow time to arrange the accommodation. A person requiring special accommodations may contact the solicitation contact person responsible for this procurement as identified above.

OFFERORS ARE STRONGLY ENCOURAGED TO CAREFULLY READ THE ENTIRE SOLICITATION.



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The undersigned Offeror hereby agrees to provide all services in accordance with the terms and requirements stated herein,

OFFER

including all exhibits, and amendments.			
Arizona Transaction (Sales) Privilege Tax License No.:	For Clarification of this offer, contact:		
	Name:		
Federal Employer Identification No.:	Phone:		
	Fax:		
E-Mail Address:	G'and and GD and A day's Lo G'an Office		
	Signature of Person Authorized to Sign Offer		
Company Name	Printed Name		
Address	Title		
City State Zip			
CERTIFICATION			
By signature in the Offer section above, the bidder certifies	s:		
The submission of the offer did not involve collusion or other	her anti-competitive practices.		
The bidder shall not discriminate against any employee of 11246, State Executive Order 99-4 or A.R.S. §41-1461 three	r applicant for employment in violation of Federal Executive Order ough 1465.		
employment, gift, loan, gratuity, special discount, trip, favoffer. Failure to provide a valid signature affirming the sti	to give at any time hereafter any economic opportunity, future vor, or service to a public servant in connection with the submitted pulations required by this clause shall result in rejection of the offer. offer, any resulting contract and may be subject to legal remedies		
In accordance with A.R.S. §35-393, the Offeror hereby centin Iran.	rtifies that the Offeror does not have scrutinized business operations		
In accordance with A.R.S. §35-391, the Offeror hereby central in Sudan.	rtifies that the Offeror does not have scrutinized business operations		
The bidder certifies that the above referenced organiemployees or has gross revenues of \$4 million or less.	izationis /is not a small business with less than 100		
A COURTANCE OF OFFICE	R (to be completed by AHCCCS)		
ACCEPTANCE OF OFFER			
ffer, including all exhibits and amendments contained herein	•		
offer, including all exhibits and amendments contained herein attached contract and based upon the solicitation, including	n, is accepted. The Contractor is now bound to provide all services listed uding all terms, conditions, specifications, amendments, etc., and the services listed and the services listed uding all terms, conditions, specifications, amendments, etc., and the services listed uding all terms, conditions, specifications, amendments, etc., and the services listed uding all terms, conditions, specifications, amendments, etc., and the services listed uding all terms, conditions, specifications, amendments, etc., and the services listed uding all terms are services listed uding all terms.		
offer, including all exhibits and amendments contained herein attached contract and based upon the solicitation, includer's Offer as accepted by AHCCCS.	uding all terms, conditions, specifications, amendments, etc., and the		

SECTION B: CAPITATION RATES AND CONTRACTOR SPECIFIC REQUIREMENTS

The Contractor shall provide services as described in this contract. This section will be amended to include capitation rates awarded to the successful Offeror.

SECTION C: PART 1, DEFINITIONS PERTAINING TO ALL AHCCCS CONTRACTS

The definitions specified in Part 1 below refer to terms found in all AHCCCS contracts. The definitions specified in Part 2 below refer to terms that exist in one or more contracts but do not appear in all contracts.

638 TRIBAL FACILITY A facility that is operated by an Indian Tribe and that is authorized to provide services pursuant to Public Law (P.L.) 93-638, as amended.

ABUSE (OF MEMBER)

Intentional infliction of physical, emotional or mental harm, caused by negligent acts or omissions, unreasonable confinement, sexual abuse or

sexual assault as defined by A.R.S. §46-451 and A.R.S. §13-3623.

ABUSE (BY PROVIDER) Provider practices that are inconsistent with sound fiscal, business or

> medical practices, and result in an unnecessary cost to the AHCCCS program, or in reimbursement for services that are not medically necessary or that fail to meet professionally recognized standards for health care. It also includes recipient practices that result in unnecessary

cost to the AHCCCS program as defined by 42 CFR 455.2.

ACUTE CARE SERVICES Medically necessary services as specified in Paragraph 10, Scope of

Services.

AHCCCS CONTRACTOR **OPERATIONS MANUAL**

(ACOM)

The ACOM provides information related to AHCCCS Contractor operations and is available on the AHCCCS website at www.azahcccs.gov.

ADJUDICATED CLAIM A claim that has been received and processed by the Contractor which

resulted in a payment or denial of payment.

AHCCCS MEDICAL POLICY MANUAL

(AMPM)

The AMPM provides information regarding covered health care services

and is available on the AHCCCS website at www.azahcccs.gov.

AHCCCS MEMBER See "MEMBER."

See "ARIZONA ADMINISTRATIVE CODE." **AHCCCS RULES**

AMERICAN INDIAN HEALTH PROGRAM

(AIHP)

An acute care fee-for-service program administered by AHCCCS for eligible American Indians which reimburses for services provided by and through the Indian Health Service (IHS), tribal health programs operated under 638 or any other AHCCCS registered provider. AIHP was

formerly known as AHCCCS IHS.

AMERICANS with DISABILITIES ACT (ADA)

The ADA prohibits discrimination on the basis of disability and ensures equal opportunity for persons with disabilities in employment. State and local government services, public accommodations, commercial facilities transportation, and telecommunications. Refer to the Americans with Disabilities Act of 1990, as amended, in 42 U.S.C. 126 and 47 U.S.C. 5.

APPEAL RESOLUTION

The written determination by the Contractor concerning an appeal.

ARIZONA (A.A.C.)

State regulations established pursuant to relevant statutes. Referred to in ADMINISTRATIVE CODE Contract as "Rules." AHCCCS Rules are State regulations which have been promulgated by the AHCCCS Administration and published by the Arizona Secretary of State.

OF ECONOMIC SECURITY /DIVISION OF DEVELOPMENTAL DISABILITIES (DES/DDD)

ARIZONA DEPARTMENT The Division of a State agency, as defined in A.R.S. Title 36, Chapter 5.1, which is responsible for licensure/certification of facilities that specifically serve individuals with a developmental/intellectual disability, contracting with providers that serve individuals with developmental disabilities, and provide services for eligible Arizona residents with a developmental/intellectual disability. AHCCCS contracts with ADES to serve eligible individuals with a developmental/intellectual disability.

ARIZONA DEPARTMENT OF HEALTH SERVICES (ADHS)

The state agency that has the powers and duties set forth in A.R.S. §36-104 and A.R.S. Title 36, Chapters 5 and 34.

ARIZONA HEALTH CARE COST CONTAINMENT SYSTEM (AHCCCS)

A State agency, as described in A.R.S. Title 36, Chapter 29, which is responsible for the provision of hospitalization and medical care to members through contracts with Contractors. AHCCCS is Arizona's Medicaid program, approved by the Centers for Medicare and Medicaid Services as a Section 1115 Waiver Demonstration Program.

ARIZONA LONG TERM **CARE SYSTEM (ALTCS)** An AHCCCS program which delivers long-term, acute, behavioral health and case management services as authorized by A.R.S. §36-2931 et seq., to eligible members who are either elderly and/or have physical disabilities, and to members with developmental disabilities, through contractual agreements and other arrangements.

ARIZONA REVISED STATUTES (A.R.S.)

Laws of the State of Arizona.

AUTHORIZED REPRESENTATIVE Authorized representative means a person who is authorized to apply for medical assistance or act on behalf of another person (R9-22-101).

BALANCED BUDGET ACT (BBA)

See "MEDICAID MANAGED CARE REGULATIONS."

BEHAVIORAL HEALTH PROFESSIONAL

An Arizona licensed psychologist, a registered nurse with at least one year of full time behavioral health work experience, or a behavioral health medical practitioner, or an Arizona licensed social worker, counselor, marriage and family therapist or substance abuse counselor licensed according to A.R.S. Title 32, Chapter 33, or an out of State individual who is licensed or certified to practice social work, counseling or marriage and family therapy by a government entity in another sate if the individual has documentation of submission of an application for Arizona licensure per A.R.S. Title 32, Chapter 33 and is licensed within one year after submitting the application.

BEHAVIORAL HEALTH RECIPIENT

A Title XIX or Title XXI acute care member who is receiving behavioral health services through ADHS and the subcontractors.

BEHAVIORAL HEALTH SERVICES

Behavioral Health Services means the assessment, diagnosis, or treatment of an individual's behavioral health issue and include services for both mental health and substance abuse conditions.

See also "COVERED SERVICES."

BOARD CERTIFIED

An individual who has successfully completed all prerequisites of the respective specialty board and successfully passed the required examination for certification.

BORDER COMMUNITIES Cities, towns or municipalities located in Arizona and within a designated geographic service area whose residents typically receive primary or emergency care in adjacent Geographic Service Areas (GSA) or neighboring states, excluding neighboring countries, due to service availability or distance.

CAPITATION

Payment to a Contractor by AHCCCS of a fixed monthly payment per person in advance, for which the Contractor provides a full range of covered services as authorized under A.R.S. §36-2904 and §36-2907.

CENTERS FOR MEDICARE AND MEDICAID SERVICES (CMS)

An organization within the United States Department of Health and Human Services, which administers the Medicare and Medicaid programs and the State Children's Health Insurance Program.

CHILDREN with SPECIAL HEALTH CARE NEEDS (CSHCN)

Children under age 19 who are: Blind/Disabled Children and Related Populations (eligible for SSI under Title XVI). Children eligible under section 1902(e)(3) of the Social Security Act (Katie Beckett); in foster care or other out-of-home placement; receiving foster care or adoption assistance; or receiving services through a family-centered, communitybased coordinated care system that receives grant funds under section 501(a)(1)(D) of Title V (CRS).

CLAIM DISPUTE A dispute, filed by a provider or Contractor, whichever is applicable,

involving a payment of a claim, denial of a claim, imposition of a

sanction or reinsurance.

CLEAN CLAIM A claim that may be processed without obtaining additional information

from the provider of service or from a third party but does not include claims under investigation for fraud or abuse or claims under review for

medical necessity, as defined by A.R.S. §36-2904.

CODE OF FEDERAL REGULATIONS (CFR) The general and permanent rules published in the Federal Register by the

departments and agencies of the Federal Government.

CONTRACT SERVICES See "COVERED SERVICES."

CONTRACT YEAR (CY) Corresponds to the contract year as specified in Section A of the contract.

CONTRACT YEAR ENDING (CYE) Corresponds to the contract ending year as specified in Section A of the

contract.

CONTRACTOR An organization or entity that has a prepaid capitated contract with the

AHCCCS administration pursuant to A.R.S. §36-2904 to provide goods and services to members either directly or through subcontracts with providers, in conformance with contractual requirements, AHCCCS

Statute and Rules, and Federal law and regulations.

CONVICTED A judgment of conviction has been entered by a Federal, State or local

court, regardless of whether an appeal from that judgment is pending.

COPAYMENT A monetary amount that the member pays directly to a provider at the

time covered services are rendered, as defined in 9 A.A.C. 22, Article 7.

COST AVOIDANCE The process of identifying and utilizing all confirmed sources of first or

third-party benefits before payment is made by the Contractor.

COVERED SERVICES The health and medical services to be delivered by the Contractor as

described in Section D, Program Requirements.

DAY A day means a calendar day unless otherwise specified.

DAY -

BUSINESS/WORKING

A business means a Monday, Tuesday, Wednesday, Thursday, or Friday unless a legal holiday falls on Monday, Tuesday, Wednesday, Thursday, or Friday.

DELEGATED AGREEMENT A type of subcontract agreement with a qualified organization or person to perform one or more functions required to be performed by the Contractor pursuant to this contract.

DISCLOSING ENTITY

An AHCCCS provider or a fiscal agent.

DISENROLLMENT

The discontinuance of a member's ability to receive covered services through a Contractor.

DIVISION OF HEALTH CARE MANAGEMENT (DHCM) The division responsible for Contractor oversight regarding AHCCCS Contractor operations, quality, maternal and child health, behavioral health, medical management, rate setting, encounters, and financial/operational oversight.

DUAL ELIGIBLE

A member who is eligible for both Medicare and Medicaid.

DURABLE MEDICAL EQUIPMENT (DME)

An item or appliance that is not an orthotic or prosthetic and that is: designed for a medical purpose, is generally not useful to a person in the absence of an illness or injury, can withstand repeated use, and is generally reusable by others.

EARLY AND PERIODIC SCREENING, DIAGNOSTIC, AND TREATMENT (EPSDT) EPSDT is a comprehensive child health program of prevention, treatment, correction, and improvement (amelioration) of physical and mental health problems for AHCCCS members under the age of 21. The purpose of EPSDT is to ensure the availability and accessibility of health care resources as well as to assist Medicaid recipients in effectively utilizing these resources. EPSDT services provide comprehensive health care through primary prevention, early intervention, diagnosis, medically necessary treatment, and follow-up care of physical and behavioral health problems for AHCCCS members less than 21 years of age. EPSDT services include screening services, vision services, dental services, hearing services and all other medically necessary mandatory and optional services listed in Federal Law 42 U.S.C. 1396d(a) to correct or ameliorate defects and physical and mental illnesses and conditions identified in an EPSDT screening whether or not the services are covered under the AHCCCS State Plan. Limitations and exclusions, other than the requirement for medical necessity and cost effectiveness, do not apply to EPSDT services.

EMERGENCY MEDICAL CONDITION

A medical condition manifesting itself by acute symptoms of sufficient severity (including severe pain) such that a prudent layperson who possesses an average knowledge of health and medicine could reasonably expect the absence of immediate medical attention to result in: a) placing the patient's health (or, with respect to a pregnant woman, the health of the woman or her unborn child) in serious jeopardy, b)serious impairment to bodily functions, or c) serious dysfunction of any bodily organ or part [42 CFR 438.114(a)].

EMERGENCY MEDICAL SERVICE

Covered inpatient and outpatient services provided after the sudden onset of an emergency medical condition as defined above. These services must be furnished by a qualified provider, and must be necessary to evaluate or stabilize the emergency medical condition [42 CFR 438.114(a)].

ENCOUNTER

A record of a health care-related service rendered by a provider or providers registered with AHCCCS to a member who is enrolled with a Contractor on the date of service.

ENROLLEE

A Medicaid recipient who is currently enrolled with a Contractor [42 CFR 438.10(a)].

ENROLLMENT

The process by which an eligible person becomes a member of a Contractor's plan.

EXHIBITS

All items attached as part of the solicitation.

FEDERAL FINANCIAL PARTICIPATION (FFP)

FFP refers to the contribution that the Federal government makes to the Title XIX and Title XXI program portions of AHCCCS, as defined in 42 CFR 400.203.

FEE-FOR-SERVICE **MEMBER**

A Title XIX or Title XXI eligible individual who is not enrolled with an **AHCCCS Contractor.**

FRAUD

An intentional deception or misrepresentation made by a person with the knowledge that the deception could result in some unauthorized benefit to himself or some other person. It includes any act that constitutes fraud under applicable State or Federal law, as defined in 42 CFR 455.2.

FREEDOM OF CHOICE

(FC)

The opportunity given to each member who does not specify a Contractor preference at the time of enrollment to choose between the Contractors available within the Geographic Service Area (GSA) in which the member is enrolled.

GEOGRAPHIC SERVICE AREA (GSA)

An area designated by AHCCCS within which a Contractor of record provides, directly or through subcontract, covered health care service to a member enrolled with that Contractor of record, as defined in 9 A.A.C. 22, Article 1.

GRIEVANCE SYSTEM

A system that includes a process for enrollee grievances, enrollee appeals, provider claim disputes, and access to the state fair hearing system.

HEALTH CARE PROFESSIONAL

A physician, podiatrist, optometrist, chiropractor, psychologist, dentist, physician assistant, physical or occupational therapist, therapist assistant, speech language pathologist, audiologist, registered or practical nurse (including nurse practitioner, clinical nurse specialist, certified registered nurse anesthetist and certified nurse midwife), licensed social worker, registered respiratory therapist, licensed marriage and family therapist and licensed professional counselor.

HEALTH INSURANCE PORTABILITY AND ACCOUNTABILITY ACT (HIPAA)

The Health Insurance Portability and Accountability Act (P.L. 104-191); also known as the Kennedy-Kassebaum Act, signed August 21, 1996 addresses issues regarding the privacy and security of member confidential information.

HEALTH PLAN

See "CONTRACTOR."

INCURRED BUT NOT REPORTED LIABILITY (IBNR)

Incurred but not reported liability for services rendered for which claims have not been received.

INDIAN HEALTH SERVICES (IHS)

A Federal agency pursuant to 25 U.S.C. 1661.

INFORMATION SYSTEMS

The component of the Offeror's organization which supports the Information Systems, whether the systems themselves are internal to the organization (full spectrum of systems staffing), or externally contracted (internal oversight and support).

INTERGOVERNMENTAL AGREEMENT (IGA)

When authorized by legislative or other governing bodies, two or more public agencies or public procurement units by direct contract or agreement may contract for services or jointly exercise any powers common to the contracting parties and may enter into agreements with one another for joint or cooperative action or may form a separate legal entity, including a nonprofit corporation to contract for or perform some or all of the services specified in the contract or agreement or exercise those powers jointly held by the contracting parties. A.R.S. Title 11, Chapter 7, Article 3 (A.R.S. §11-952.A).

LIABLE PARTY

A individual, entity, or program that is or may be liable to pay all or part of the medical cost of injury, disease or disability of an AHCCCS applicant or member as defined in R9-22-1001.

LIEN

A legal claim, filed with the County Recorder's office in which a member resides and in the county an injury was sustained for the purpose of ensuring that AHCCCS receives reimbursement for medical services paid. The lien is attached to any settlement the member may receive as a result of an injury.

MAJOR UPGRADE

Any systems upgrade or changes that may result in a disruption to the following: loading of contracts, providers or members, issuing prior authorizations or the adjudication of claims.

MANAGED CARE

Systems that integrate the financing and delivery of health care services to covered individuals by means of arrangements with selected providers to furnish comprehensive services to members; establish explicit criteria for the selection of health care providers; have financial incentives for members to use providers and procedures associated with the plan; and have formal programs for quality, medical management and the coordination of care.

MANAGEMENT SERVICES AGREEMENT

A type of subcontract with an entity in which the owner of the Contractor delegates some or all of the comprehensive management and administrative services necessary for the operation of the Contractor.

MANAGING EMPLOYEE

A general manager, business manager, administrator, director, or other individual who exercises operational or managerial control over or who directly or indirectly conducts the day-to-day operation of an institution, organization or agency.

MATERIAL CHANGE

An alteration or development within a provider network that may reasonably be foreseen to affect the quality or delivery of services provided under this contract.

MATERIAL OMISSION

A fact, data or other information excluded from a report, contract, etc., the absence of which could lead to erroneous conclusions following reasonable review of such report, contract, etc.

MEDICAID

A Federal/State program authorized by Title XIX of the Social Security Act, as amended.

MEDICAID MANAGED CARE REGULATIONS

The Federal law mandating, in part, that States ensure the accessibility and delivery of quality health care by their managed care Contractors. These regulations were promulgated pursuant to the Balanced Budget Act (BBA) of 1997.

MEDICARE

A Federal program authorized by Title XVIII of the Social Security Act, as amended.

MEDICAL

MANAGEMENT (MM)

An integrated process or system that is designed to assure appropriate utilization of health care resources, in the amount and duration necessary to achieve desired health outcomes, across the continuum of care (from prevention to end of life care).

MEDICAL SERVICES

Medical care and treatment provided by a Primary Care Provider (PCP), attending physician or dentist or by a nurse or other health related professional and technical personnel at the direction/order of a licensed physician or dentist.

MEDICALLY NECESSARY

As defined in 9 A.A.C. 22 Article 1. Medically necessary means a covered service provided by a physician or other licensed practitioner of the health arts within the scope of practice under State law to prevent disease, disability or other adverse conditions or their progression, or prolong life.

MEDICALLY **NECESSARY SERVICES**

Those covered services provided by qualified service providers within the scope of their practice to prevent disease, disability and other adverse health conditions or their progression or to prolong life.

MEMBER

An eligible person who is enrolled in AHCCCS, as defined in A.R.S. §36-2931, §36-2901, §36-2901.01 and A.R.S. §36-2981.

MATERIALS

MEMBER NFORMATION Any materials given to the Contractor's membership. This includes, but is not limited to: member handbooks, member newsletters, surveys, on hold messages and health related brochures/reminders and videos, form letter templates, and website content. It also includes the use of other mass communication technology such as e-mail and voice recorded information messages delivered to a member's phone.

NATIONAL PROVIDER **IDENTIFIED (NPI)**

A unique identification number for covered health care providers, assigned by the CMS contracted national enumerator.

NON-CONTRACTING PROVIDER

A person or entity that provides services as prescribed in A.R.S. §36-2901 who does not have a subcontract with an AHCCCS Contractor.

NOTICE OF APPEAL RESOLUTION

The written determination by the Contractor concerning an appeal.

OFFEROR

An organization or other entity that submits a proposal to AHCCCS in response to a Request For Proposal as defined in 9 A.A.C. 22, Article 1.

PARENT

A biological, adoptive, or custodial mother or father of a child, or an individual who has been appointed as a legal guardian or custodian of a child by a court of competent jurisdiction.

PERFORMANCE IMPROVEMENT PROJECT (PIP) A planned process of data gathering, evaluation and analysis to determine interventions or activities that are projected to have a positive outcome. A PIP includes measuring the impact of the interventions or activities toward improving the quality of care and service delivery. Formerly referred to as Quality Improvement Projects (QIP).

PERFORMANCE STANDARDS

A set of standardized measures designed to assist AHCCCS in evaluating, comparing and improving the performance of its Contractors.

PREPAID MEDICAL MANAGEMENT INFORMATION SYSTEM (PMMIS) An integrated information infrastructure that supports AHCCCS operations, administrative activities and reporting requirements.

POST STABILIZATION CARE SERVICES

Medically necessary services, related to an emergency medical condition provided after the member's condition is sufficiently stabilized in order to maintain, improve or resolve the member's condition so that the member could alternatively be safely discharged or transferred to another location [42 CFR 438-114(a)].

POTENTIAL ENROLLEE

A Medicaid-eligible recipient who is not yet enrolled with a Contractor [42 CFR 438.10(a)].

PRIMARY CARE PROVIDER (PCP)

An individual who meets the requirements of A.R.S. §36-2901, and who is responsible for the management of the member's health care. A PCP may be a physician defined as a person licensed as an allopathic or osteopathic physician according to A.R.S. Title 32, Chapter 13 or Chapter 17, or a practitioner defined as a physician assistant licensed under A.R.S. Title 32, Chapter 25, or a certified nurse practitioner licensed under A.R.S. Title 32, Chapter 15.

PRIOR PERIOD

See "PRIOR PERIOD COVERAGE."

PRIOR PERIOD COVERAGE (PPC)

The period of time prior to the member's enrollment, during which a member is eligible for covered services. The timeframe is from the effective date of eligibility to the day a member is enrolled with a Contractor, Refer to 9 A.A.C. 22 Article 1.

PROVIDER

Any person or entity that contracts with AHCCCS or a Contractor for the provision of covered services to members according to the provisions A.R.S. §36-2901 or any subcontractor of a provider delivering services pursuant to A.R.S. §36-2901.

PROVIDER GROUP

Two or more health care professionals who practice their profession at a common location (whether or not they share facilities, supporting staff, or equipment).

PRUDENT LAYPERSON

(for purposes of determining whether an emergency medical condition exists)

A person without medical training who relies on the experience, knowledge and judgment of a reasonable person to make a decision regarding whether or not the absence of immediate medical attention will result in: 1) placing the health of the individual in serious jeopardy, 2) serious impairment to bodily functions, or 3) serious dysfunction of a bodily part or organ.

QUALIFIED MEDICARE BENEFICIARY DUAL ELIGIBLE (QMB DUAL)

A person determined eligible under Title 9 Chapter 29 Article 2 of A.A.C. for Qualified Medicare Beneficiary (QMB) and eligible for acute care services provided for in 9 A.A.C. 22 or ALTCS services provided for in 9 A.A.C. 28. A QMB dual person received both Medicare and Medicaid services and cost sharing assistance.

REFERRAL

A verbal, written, telephonic, electronic or in-person request for health services.

REGIONAL BEHAVIORAL HEALTH AUTHORITY (RBHA)

An organization under contract with the ADHS to administer covered behavioral health services in a geographically specific area of the state. Refer to A.R.S. §36-3401 and A.R.S. Title 9, Chapter 22, Article 12.

REINSURANCE

A risk-sharing program provided by AHCCCS to Contractors for the reimbursement of certain contract service costs incurred for a member beyond a predetermined monetary threshold.

RELATED PARTY

A party that has, or may have, the ability to control or significantly influence a Contractor, or a party that is, or may be, controlled or significantly influenced by a Contractor. "Related parties" include, but are not limited to, agents, managing employees, persons with an ownership or controlling interest in the Offeror and their immediate families, subcontractors, wholly-owned subsidiaries or suppliers, parent companies, sister companies, holding companies, and other entities controlled or managed by any such entities or persons.

REQUEST FOR PROPOSAL (RFP)

A RFP includes all documents, whether attached or incorporated by references that are used by the Administration for soliciting a proposal under 9 A.A.C. 22 Article 6.

ROOM AND BOARD (or ROOM)

The amount paid for food and/or shelter. Medicaid funds can be expended for room and board when a person lives in an institutional setting (e.g. NF, ICF). Medicaid funds cannot be expended for room and board when a member resides in an alternative residential setting (e.g. Assisted Living Home, Behavioral Health Level 2) or an apartment like setting that may provide meals.

SCOPE OF SERVICES

See "COVERED SERVICES."

SERVICE LEVEL AGREEMENT

A type of subcontract with a corporate owner or any of its Divisions or Subsidiaries that requires specific levels of service for administrative functions or services for the Contractor specifically related to fulfilling the Contractor's obligations to AHCCCS under the terms of this contract.

SERVICE PLAN

A document that is developed consistent with applicable Evidence Based Practice Guidelines, which combines the various elements of treatment plans with needed family support services and care coordination activities to provide a map of the steps to be taken for each member in achieving treatment and quality of life goals.

NEEDS

SPECIAL HEALTH CARE Serious or chronic physical, developmental and/or behavioral health conditions. Members with special health care needs require medically necessary services of a type or amount beyond that generally required by members.

SPECIALTY PHYSICIAN

A physician who is specially trained in a certain branch of medicine related to specific services or procedures, certain age categories of patients, certain body systems, or certain types of diseases.

STATE

The State of Arizona.

STATEWIDE

Of sufficient scope and breadth to address the health care service needs of members throughout the State of Arizona.

STATE FISCAL YEAR

The budget year-State fiscal year: July 1 through June 30.

STATE PLAN

The written agreements between the State and CMS, which describes how the AHCCCS program meets CMS requirements for participation in the Medicaid program and the State Children's Health Insurance Program.

SUBCONTRACT

An agreement entered into by the Contractor with any of the following: a provider of health care services who agrees to furnish covered services to member; or with any other organization or person who agrees to perform any administrative function or service for the Contractor specifically related to fulfilling the Contractor's obligations to AHCCCS under the terms of this contract, as defined in 9 A.A.C. 22 Article 1.

SUBCONTRACTOR

- 1. A provider of health care who agrees to furnish covered services to members.
- 2. A person, agency or organization with which the Contractor has contracted or delegated some of its management/administrative functions or responsibilities.
- 3. A person, agency or organization with which a fiscal agent has entered into a contract, agreement, purchase order or lease (or leases of real property) to obtain space, supplies equipment or services provided under the AHCCCS agreement.

SUPPLEMENTAL SECURITY INCOME (SSI) AND SSI RELATED GROUPS Eligible individuals receiving income through Federal cash assistance programs under Title XVI of the Social Security Act who are aged, blind or disabled and have household income levels at or below 100% of the FPL.

THIRD PARTY LIABILITY (TPL) See "LIABLE PARTY."

TITLE XIX

Means Medicaid as defined in 42 U.S.C. 1396 et seq.

TITLE XIX MEMBER

Title XIX members include those eligible under 1931 provisions of the Social Security Act (previously AFDC), Sixth Omnibus Budget Reconciliation Act (SOBRA), Supplemental Security Income (SSI) or SSI-related groups, Medicare Cost Sharing groups, Title XIX Waiver groups, Breast and Cervical Cancer Treatment program, Title IV-E Foster Care and Adoption Subsidy, Young Adult Transitional Insurance, and Freedom to Work.

TREATMENT The range of health care received by a member that is consistent with the

therapeutic goals.

TRIBAL/REGIONAL BEHAVIORAL HEALTH AUTHORITY (T/RBHA) An organization under contract with ADHS/DBHS that administers covered behavioral health services in a geographically specific area of the state. Tribal governments, through an agreement with ADHS, may operate a Tribal Regional Behavioral Health Authority for the provision

of behavioral health services to American Indian members.

YEAR See "CONTRACT YEAR."

[END OF PART 1 DEFINITIONS]

SECTION C: PART 2, DEFINITIONS PERTAINING TO ONE OR MORE AHCCCS CONTRACTS

1931	(also	referred	to	as
TAN	F rela	ated)		

Eligible individuals and families under Section 1931 of the Social Security Act, with household income levels at or below 100% of the Federal Poverty

Level (FPL).

See also "TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF)."

ADMINISTRATIVE OFFICE OF THE COURTS (AOC) The Arizona Constitution authorizes an administrative director and staff to assist the Chief Justice with administrative duties. Under the direction of the Chief Justice, the administrative director and the staff of the Administrative Office of the Courts (AOC) provide the necessary support for the supervision and administration of all State courts.

AGENT

Any person who has been delegated the authority to obligate or act on behalf

of another person or entity.

AHCCCS BENEFITS

See "Section D, Scope of Services".

AHCCCS CARE

Eligible individuals and childless adults whose income is less than or equal to 100% of the FPL, and who are not categorically linked to another Title XIX program. Also known as Childless Adults (Formerly Non-MED).

See also "Title XIX WAIVER GROUP MEMEBR."

AID FOR FAMILIES WITH DEPENDENT CHILDREN (AFDC) See "TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF)."

AMBULATORY CARE

Preventive, diagnostic and treatment services provided on an outpatient basis by physicians, nurse practitioners physician assistants and other health care

providers.

ANNIVERSARY DATE

The anniversary date is 12 months from the date the member enrolled with the Contractor and annually thereafter. In some cases, the anniversary date will change based on the last date the member changed Contractors or the last date the member was given an opportunity to change.

ANNUAL ENROLLMENT CHOICE (AEC)

The opportunity for a person to change Contractors every 12 months; effective on their anniversary date.

ARIZONA DEPARTMENT OF JUVENILE

CORRECTION (ADJC)

ARIZONA DEPARTMENT Arizona Department of Juvenile Correction.

BED HOLD

A 24 hour per day unit of service that is authorized by an ALTCS member's case manager or the behavioral health case manager or a subcontractor for an acute care member, which may be billed despite the member's absence from the facility. Refer to the Arizona Medicaid State Plan, 42 C.F.R. §§447.40 and 483.12, and 9 A.A.C. 28 for more information on the bed hold service.

BEHAVIORAL HEALTH MEDICAL PRACTITIONER

A medical practitioner, i.e., a physician, physician assistant, nurse practitioner, with one year of full-time behavioral health experience as specified in A.A.C. Title 9, Chapter 22, Article 12.

BEHAVIORAL HEALTH PARAPROFESSIONAL

A staff member of a licensed behavioral health service agency as specified in A.A.C. Title 9, Chapter 20.

BEHAVIORAL HEALTH TECHNICIAN

A staff member of a licensed behavioral health service agency as specified in A.A.C. Title 9, Chapter 20.

BREAST AND CERVICAL CANCER TREATMENT PROGRAM (BCCTP)

Eligible individuals under the Title XIX expansion program for women with income up to 250% of the FPL, who are diagnosed with and need treatment for breast and/or cervical cancer or cervical lesions and are not eligible for other Title XIX programs providing full Title XIX services. Qualifying individuals cannot have other creditable health insurance coverage, including Medicare.

CASH MANAGEMENT IMPROVEMENT ACT (CMIA)

Cash Management Improvement Act of 1990 [31 CFR Part 205]. Provides guidelines for the drawdown and transfer of Federal funds.

CHILD PROTECTIVE SERVICES (CPS)

Child Protective Services (CPS) is a program mandated under ARS §8-802 for the protection of children alleged to be abused and neglected. This program provides specialized welfare services that seek to prevent dependency, abuse and neglect of children. The Child Protective Services program receives, screens and investigates allegations of child abuse and neglect, performs assessments of child safety, assesses the imminent risk of harm to the children and evaluates conditions that support or refute the alleged abuse or neglect and need for emergency intervention. This program also provides services designed to stabilize a family in crisis and to preserve the family unit by reducing safety and risk factors.

CHILDREN'S REHABILITATIVE SERVICES (CRS)

A program that provides medical treatment, rehabilitation, and related support services to Title XIX and Title XXI members who have completed the CRS application and have met the eligibility criteria to receive CRS-related services as specified in 9 A.A.C. 22.

CLIENT ASSESSMENT AND TRACKING SYSTEM (CATS)

A component of AHCCCS' data management information system that supports ALTCS and that is designed to provide key information to, and receive key information from DES/DDD.

COMPREHENSIVE MEDICAL AND DENTAL PROGRAM (CMDP)

A Contractor that is responsible for the provision of covered, medically necessary AHCCCS services for foster children in Arizona. Refer to A.R.S. 88-512.

COMPETITIVE BID PROCESS

A state procurement system used to select Contractors to provide covered services on a geographic basis.

COUNTY OF FISCAL RESPONSIBILITY

The county of fiscal responsibility is the Arizona county that is responsible for paying the state's funding match for the member's ALTCS Service Package. The county of physical presence (the county in which the member physically resides) and the county of fiscal responsibility may be the same county or different counties.

CRS-ELIGIBLE

An individual AHCCCS member who has completed the CRS application process, as delineated in the CRS Policy and Procedure Manual, and has met all applicable criteria to be eligible to receive CRS-related services as specified in 9 A.A.C. 22.

CRS RECIPIENT

An individual who has completed the CRS application process, and has met all applicable criteria to be eligible to receive CRS related covered Services.

DEPARTMENT OF ECONOMIC SECURITY (DES)

Department of Economic Security.

DEVELOPMENTALLY/IN TELLECTUALLY DISABLED MEMBER (DD)

A member who meets the Arizona definition as outlined in A.R.S. §36-551 and is determined eligible for services through the DES Division of Developmental Disabilities (DDD). AHCCCS-enrolled acute and long term care members with developmental/intellectual disabilities are managed through the DES Division of Developmental Disabilities.

DIVISION OF CHILDREN, YOUTH, and FAMILIES (DCYF)

The Division of Children, Youth and Families within DES.

FAMILY-CENTERED

Care that recognizes and respects the pivotal role of the family in the lives of members. It supports families in their natural care-giving roles, promotes normal patterns of living, and ensures family collaboration and choice in the provision of services to the member.

FAMILY OR FAMILY **MEMBER**

A biological, adoptive, or custodial mother or father of a child, or an individual who has been appointed as a legal guardian or custodian of a child by a court of competent jurisdiction, or other member representative responsible for making health care decisions on behalf of the member. Family members may also include siblings, grandparents, aunts and uncles.

FEDERAL EMERGENCY SERVICES (FES)

A program delineated in R9-22-217, to treat an emergency condition for a member who is determined eligible under A.R.S. §36-2903.03(D).

HEALTH CENTER (FQHC)

FEDERALLY QUALIFIED A public or private non-profit health care organization that has been identified by the HRSA and certified by CMS as meeting criteria under Sections 1861(aa)(4) and 1905(1)(B) of the Social Security Act and received funds under Section 330 of the Public Health Service Act.

HEALTH CENTER LOOK-ALIKE

FEDERALLY OUALIFIED A public or private non-profit health care organization that has been identified by the HRSA and certified by CMS as meeting the definition of "health center" under Section 330 of the Public Health Service Act, but does not receive grant funding under Section 330.

FIELD CLINIC

A "clinic" consisting of single specialty health care providers who travel to health care delivery settings closer to members and their families than the Multi-Specialty Interdisciplinary Clinics (MSICs) to provide a specific set of services including evaluation, monitoring, and treatment for CRS-related conditions on a periodic basis.

HOME

A residential dwelling that is owned, rented, leased, or occupied at no cost to the member, including a house, a mobile home, an apartment or other similar shelter. A home is not a facility, a setting or an institution, or a portion and any of these, licensed or certified by a regulatory agency of the state as a: health care institution defined in A.R.S. §36-401; residential care institution defined in A.R.S. §36-401; community residential facility defined in A.R.S. §36-551; or behavioral health service facility defined in 9 A.A.C. 20 Article 1.

HOME AND COMMUNITY BASED SERVICES (HCBS)

Home and community-based services, as defined in A.R.S. §36-2931 and §36-2939.

RECORD

INTEGRATED MEDICAL A single document in which all of the medical information listed in Chapter 900 of the AMPM is recorded to facilitate the coordination and quality of care delivered by multiple providers serving a single patient in multiple locations and at varying times.

INTERDISCIPLINARY CARE

A meeting of the interdisciplinary team members or coordination of care among interdisciplinary treatment team members to address the totality of the treatment and service plans for the member based on the most current information available.

INTERMEDIATE CARE FACILITY FOR PERSONS WITH INTELLECTUAL **DISABILITIES (ICF)**

A placement setting for persons with intellectual disabilities.

JUVENILE PROBATION **OFFICE (JPO)**

Juvenile Probation Office.

KIDSCARE

Federal and State Children's Health Insurance Program (Title XXI – CHIP) administered by AHCCCS. The KidsCare I program offers comprehensive medical, preventive, treatment services, and behavioral health care services statewide to eligible children under the age of 19, in households with income at or below 200% Federal Poverty Level (FPL). The KidsCare II program has the same benefits and premium requirements as KidsCare I, however household income limits cannot be greater than 175% FPL.

MEDICARE MANAGED **CARE PLAN**

A managed care entity that has a Medicare contract with CMS to provide services to Medicare beneficiaries, including Medicare Advantage Plan (MAP), Medicare Advantage Prescription Drug Plan (MAPDP), MAPDP Special Needs Plan, or Medicare Prescription Drug Plan.

MULTI-SPECIALTY INTERDISCIPLINARY CLINIC (MSIC)

An established facility where specialists from multiple specialties meet with members and their families for the purpose of providing interdisciplinary services to treat members.

NON-MEDICAL EXPENSE DEDUCTION (FORMERLY NON-MED) **MEMBER**

See "AHCCCS CARE."

PRE-ADMISSION **SCREENING (PAS)**

A process of determining an individual's risk of institutionalization at a NF or ICF level of care as specified in 9 A.A.C. 28 Article 1.

RATE CODE Eligibility classification for capitation payment purposes.

RISK GROUP Grouping of rate codes that are paid at the same capitation rate.

Any claim that does not meet the standardized claim requirements of 9 ROSTER BILLING

A.A.C. 22, Article 7 is considered roster billing.

(RHC)

RURAL HEALTH CLINIC A clinic located in an area designated by the Bureau of Census as rural, and by the Secretary of the DHHS as medically underserved or having an insufficient number of physicians, which meets the requirements under 42

CFR 491.

SERIOUSLY MENTALLY

ILL (SMI)

A person 18 years of age or older who is seriously mentally ill as defined in A.R.S. §36-550.

SIXTH OMNIBUS BUDGET AND

RECONCILATION ACT (SOBRA)

Eligible pregnant women under Section 9401 of the Sixth Omnibus Budget and Reconciliation Act of 1986, amended by the Medicare Catastrophic Coverage Act of 1988, 42 U.S.C. 1396(a)(10)(A)(ii)(IX), November 5, 1990, with individually budgeted incomes at or below 150% of the FPL, and children in families with individually budgeted incomes ranging from below 100% to 140% of the FPL, depending on the age of the child.

SOBRA FAMILY PLANNING EXTENSION **PROGRAM**

A program that provides family planning services only, for a maximum of two consecutive 12-month periods to a SOBRA woman whose pregnancy has ended and who is not otherwise eligible for full Title XIX services (Also referred to as Family Planning Services Extension Program).

STATE CHILDREN'S **HEALTH INSURANCE** PROGRAM (SCHIP)

State Children's Health Insurance Program under Title XXI of the Social Security Act (Also known as CHIP). The Arizona version of CHIP is referred to as "KidsCare." See also "KIDSCARE."

STATE ONLY **TRANSPLANT MEMBERS**

Individuals who are eligible under one of the Title XIX eligibility categories and found eligible for a transplant, but subsequently lose Title XIX eligibility due to excess income become eligible for one of two extended eligibility options as specified in A.R.S. §36-2907.10 and A.R.S. §36-2907.11.

SUBSTANCE ABUSE

The chronic, habitual, or compulsive use of any chemical matter which, when introduced into the body, is capable of altering human behavior or mental functioning and, with extended use, may cause psychological dependence and impaired mental, social or educational functioning. Nicotine addiction is not considered substance abuse.

TELEMEDICINE

The practice of health care delivery, diagnosis, consultation and treatment and the transfer of medical data through interactive audio, video or data communications that occur in the physical presence of the patient, including audio or video communications sent to a health care provider for diagnostic or treatment consultation. Refer to A.R.S. §36-3601.

TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF)

A Federal cash assistance program under Title IV of the Social Security Act established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193). It replaced Aid To Families With Dependent Children (AFDC).

TITLE XIX WAIVER GROUP MEMBER

Eligible individuals and couples whose income is at or below 100% of the Federal Poverty Level who are not categorically linked to another Title XIX program. Formerly known as Non-MED members.

See also "AHCCCS CARE."

TITLE XXI MEMBER

Member eligible for acute care services under Title XXI of the Social Security Act, referred to in Federal legislation as the "Children's Health Insurance Program" (CHIP). The Arizona version of CHIP is referred to as "KidsCare."

TRANSITION PLAN

A plan developed for each member in accordance with AHCCCS Policy, which includes developmentally-appropriate strategies to transition from a pediatric to an Adult system of health care and a plan that addresses changing work, education, recreation and social needs.

TREATMENT PLAN

A written plan of services and therapeutic interventions based on a complete assessment of a member's developmental and health status, strengths and needs that are designed and periodically updated by the multi-specialty, interdisciplinary team.

VIRTUAL CLINICS

Integrated services provided in community settings through the use of innovative strategies for care coordination such as Telemedicine, integrated medical records and virtual interdisciplinary treatment team meetings.

[END OF PART 2 DEFINITIONS]